

SUBSTITUTE FORM PTO-1449
(MODIFIED)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
MRD/64CPSERIAL NO.
10/800,531

JUN 07 2004

INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANT
Achilefu et al.FILING DATE
March 15, 2004GROUP
1618

(37 C.F.R. 1.98(b))

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
[Signature]	A.A	5	2	4	6	8	2	8	09/1993	Okuyama et al.	430	576	04/1992
	A.B	5	4	5	3	5	0	5	09/1995	Lee et al.	544	124	06/1994
	A.C	5	6	7	2	3	3	3	09/1997	Rajagopalan et al.	424	9.6	05/1996
	A.D	5	7	0	9	8	4	5	01/1998	Rajagopalan et al.	424	9.6	05/1996
	A.E	5	7	2	3	1	0	4	03/1998	Fung et al.	424	9.6	05/1996
	A.F	5	7	3	2	1	0	4	03/1998	Brown et al.	375	222	12/1994
	A.G	6	1	8	0	0	8	5	01/2001	Achilefu et al.	424	9.6	01/2000
	A.H	6	1	8	0	0	8	6	01/2001	Achilefu et al.	424	9.6	01/2000
	A.I	6	1	8	0	0	8	7	01/2001	Achilefu et al.	242	9.6	01/2000
	A.J	6	1	8	3	7	2	6	02/2001	Achilefu et al.	424	9.6	01/2000
	A.K	6	1	9	0	6	4	1	02/2001	Achilefu et al.	424	9.6	01/2000

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
[Signature]	A.L	WO9617628	6/13/1996	PCT	A61K	49/00	No
	A.M	WO9822146	5/28/1998	PCT	A61K	49/00	No
	A.N	WO9844846	10/15/1998	PCT	A61B	5/117	
	A.O	WO9844838	10/15/1998	PCT	A47L	13/258	
	A.P	JP 62207686	09/1987	Japan			
	A.Q	JP59192595	10/31/84	JP	B 41 M	5/26	Abstract only

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

[Signature]	A.R	Becker et al., Nature Biotechnology, 19, 2001, 327-331.
	A.S	Becker et al., Transferrin Mediated Tumor Delivery of Contrast Media for Optical Imaging and Magnetic Resonance Imaging, Biomedical Optics meeting, January 23-29, 1999, San Jose, CA, 142-150.
	A.T	Bergmark et al., Dramatic Fluorescence Effects for Coumarin Laser Dyes Coincluded with Organic Solvents in Cyclodextrins, J. Phys. Chem., 1990, 94, 5020-5022.

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	B.A	6	2	5	8	3	7	8	07/2001	Schneider et al.	424	450	02/1999
	B.B	6	2	6	4	9	2	0	07/2001	Achilefu et al.	424	9.6	08/2000
	B.C	6	3	9	5	2	5	7	05/2002	Achilefu et al.	424	9.6	01/2000
	B.D	6	4	0	6	7	1	3	08/2002	Janoff et al.	424	450	04/1995
	B.E	6	4	8	5	7	0	4	11/2002	Rajagopalan et al.	424	9.1	05/2001
	B.F	6	7	0	6	2	5	4	03/2004	Achilefu et al.	424	9.6	05/2001
	B.G												
	B.H												
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	B.L	WO 98/48838	11/1998	WIPO	A61K	41/O0	Yes
	B.M	WO 98/48846	11/1998	WIPO	A61K	49/O0	Yes
	B.N						
	B.O						
	B.P						
	B.Q						

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

	B.R	Braun-Falco et al., <u>Liposome Dermatics</u> , Greisbach Conference, Springer-Verlag, 1992, pp. 69-81, 91-117.
	B.S	M. Brinkley, <u>A Brief Survey of Methods for Preparing Protein Conjugates with Dyes, Haptens, and Cross-Linking Reagents</u> , American Chemical Society, Reprinted from Bioconjugate Chemistry, Vol. 3, No. 1, Jan./Feb. 1992, 59-70.
	B.T	J. Caesar et al., <u>The Use of Indocyanine Green in the Measurement of Hepatic Blood Flow and As a Test of Hepatic Function</u> , Clin. Sci., 21, 1961, 43-57.

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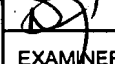
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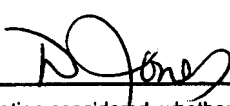
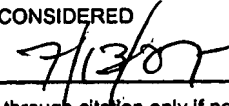
OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

	C.R	G.R. Cherrick et al., <u>Indocyanine Green: Observations on Its Physical Properties, Plasma Decay, and Hepatic Extraction</u> , J. Clinical Investigation, 39, 1960, 592-600.
	C.S	M. de Jong et al., <u>Comparison of 111In-labeled Somatostatin Analogues for Tumor Scintigraphy and Radionuclide Therapy</u> , Cancer Research., 58, February 1, 1998, 437-441.
	C.T	Delaey et al., J. Photochem. Photobiol. B: Biol. 55, 2000, 27-36.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				APPLICANT Achilefu et al.			
				FILING DATE March 15, 2004		GROUP 1616 1618	
(37 CFR 1.98(b))							
U.S. PATENT DOCUMENTS							
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OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)							
	D.R	J.H. Flanagan, Jr. et al., <u>Near-Infrared Heavy-Atom-Modified Fluorescent Dyes for Base-Calling in DNA-Sequencing Applications Using Temporal Discrimination</u> , Anal. Chem., 70:13, July 1, 1998, 2676-2684.					
	D.S	Y-L He et al., <u>Measurement of Blood Volume Using Indocyanine Green Measured with Pulse-Spectrophotometry: Its Reproducibility and Reliability</u> , Critical Care Medicine, 26:8, 1998, 1446-1451.					
	D.T	PCT, <u>International Search Report</u> , PCT/US01/01407, mailed on May 16, 2001.					
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APPLICANT
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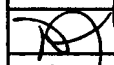

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OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

	E.R.	R.K. Jain, <u>Barriers to Drug Delivery In Solid Tumors</u> , Scientific American, July, 1994, 58-65.
	E.S.	Giulio Jori, <u>Novel Therapeutic Modalities Based on Photosensitized Processes</u> , EPA Newsletter, No. 60, July 1997, 12-18.
	E.T.	Giulio Jori, <u>Far-red-absorbing photosensitizers: their use in the photodynamic therapy of tumours</u> , J. Photochem. Photobiol. A: Chem., 62, 1992, 371-378.

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7/18/07

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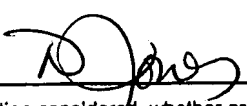
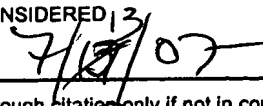
OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

	F.R	Jori and Reddi, <u>Second Generation Photosensitizers for the Photodynamic Therapy of Tumours</u> , Light in Biology and Medicine, Vol. 2, 1991, 253-266.
	F.S	Giulio Jori, <u>Tumour photosensitizers: approaches to enhance the selectivity and efficiency of photodynamic therapy</u> , Journal of Photochemistry and Photobiology, B: Biology, 36, 1996, 87-93.
	F.T	Kanno et al., Chemical Abstract, 109:201583 for JP 62207686, 1987.

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107	G.R	Lasic and Martin (eds.), <u>Stealth Liposomes</u> , 1995 CRC Press, London, 1-6, 13-62, 93-147, 197-210, 233-244.					
107	G.S	Licha et al., <u>Bioconjugate Chem.</u> , 12, 2001, 44-50.					
107	G.T	R.B. Mujumdar et al., <u>Cyanine Dye Labeling Reagents: Sulfoindocyanine Succinimide Esters</u> , <u>Bioconjugate Chemistry</u> , 4:2, March/April 1993, 105-111.					
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

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OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

	H.R	N. Narayanan et al., <u>A New Method for the Synthesis of Heptamethine Cyanine Dyes: Synthesis of New Near-Infrared Fluorescent Labels</u> , J. Org. Chem. 60, 1995, 2391-2395.
	H.S	G. Patonay et al., <u>Near-Infrared Fluorogenic Labels: New Approach to an Old Problem</u> , Analytical Chemistry, 63, 1991, 321A-327A.
	H.T	G.A. Reynolds et al., <u>Stable Heptamethine Pyrylium Dyes That Absorb in the Infrared</u> , J. Org. Chem., 42:5, 1977, 885-888.

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

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	I.R	J. Slavik, <u>Fluorescent Probes in Cellular and Molecular Biology</u> , CRC Press, Inc., Boca Raton, Florida, 1994.
	I.S	P.L. Southwick et al., "One Pot" Fischer Synthesis of (2,3,3-trimethyl-3-H-indol-5-yl)-Acetic Acid. Derivatives as Intermediates for Fluorescent Biolabels, Org. Prep. Proced. Int. Briefs, 20:3, 1988, 279-284.
	I.T	L. Strekowski et al., Substitution Reactions of a Nucleofugal Group in Heptamethine Cyanine Dyes. Synthesis of an Isothiocyanato Derivative for Labeling of Proteins with a Near-Infrared Chromophore, J. Org. Chem., 57, 1992, 4578-4580.

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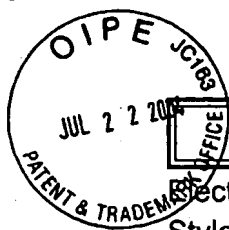


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	J.R	B.C. Wilson, <u>Optical Properties of Tissue</u> , Encyclopedia of Human Biology, Sec. Ed., Vol. 6, Academic Press, 1991, 451-461.					
	J.S	Brian C. Wilson, <u>Optical Properties of Tissues</u> , Encyclopedia of Human Biology, Vol. 5, Academic Press, 1991, 587-597.					
	J.T						
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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
Stylesheet Version v18.0

Title of Invention	Receptor-Avid Exogenous Optical Contrast And Therapeutic Agents																												
<p>Application Number: 10/800531 </p> <p>Confirmation Number: 2309</p> <p>First Named Applicant: Samuel Achilefu</p> <p>Attorney Docket Number: mrd64cp</p> <p>Art Unit: 1616 1618</p> <p>Search string: (6083485 or 6258340).pn.</p> <p>US Patent Documents</p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td></td><td>1</td><td>6083485</td><td>2000-07-04</td><td>Licha et al.</td><td></td><td>424</td><td>9.6</td></tr><tr><td></td><td>2</td><td>6258340</td><td>2001-07-10</td><td>Licha et al.</td><td>B1</td><td>424</td><td>9.6</td></tr></tbody></table> <p>Remarks</p> <p>Note: Remarks are not for responding to an office action.</p> <p>This is a Supplemental IDS.</p> <p>Signature</p> <table border="1"><tr><td>Examiner Name</td><td>Date</td></tr><tr><td></td><td>7/13/07</td></tr></table>		init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass		1	6083485	2000-07-04	Licha et al.		424	9.6		2	6258340	2001-07-10	Licha et al.	B1	424	9.6	Examiner Name	Date		7/13/07
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